



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/678,804	10/04/2000	Terrance Peter Roux	113183.100

## FORMALITIES LETTER



\*OC000000005582182\*

Pepper Hamilton LLP  
600 Fourteenth Street N W  
Washington, DC 20005-2004

Date Mailed: 11/28/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

02/06/2001 KZENDIE 00000085 500436 09678804

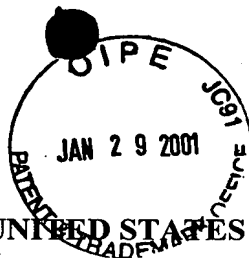
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*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



SECTION  
\$ N

Atty. Docket No.: 113183.0100

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Peter Terence Roux, *et al.*

Appl. No.: 09/678,804

Filed: October 4, 2000

For: **A Method And System For Controlling Access By Clients To Servers Over An Internet Protocol Network**



01-29-2001

U.S. Patent & TMO/TM Mail Rcpt Dt. #70

Group Art Unit: 2161

Examiner: *To Be Assigned*

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

Honorable Commissioner of  
Patents and Trademarks  
Washington D.C. 20231

***Applications Branch***

Sir:

In response to the Notice of Missing Parts of Application dated **November 28, 2000** submitted herewith are the following documents for filing in the above-referenced application:

1. Fee Transmittal Form (PTO/SB/17) (*in duplicate*);
2. Copy of Notice To File Missing Parts of NonProvisional Application;
3. Original Combined Declaration and Power of Attorney;
4. Assignment Recordation Form Cover Sheet PTO/1596;
5. Original Assignment and fee of **\$40.00**; and
6. Late Filing Fee Surcharge of **\$65.00**.

Applicants claim small entity status. (*See 37 C.F.R. §1.27*).

Please charge Deposit Account No. 50-0436 in the amount of **\$105.00**. Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 50-0436 and please credit any excess fees to such deposit account.

☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any

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OIP/ JCWS


Peter Terence ROUX *et al.*  
Appl. No. 09/678,804

overpayment to Deposit Account No. 50-0436. A duplicate copy is enclosed.

- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ The Commissioner is hereby authorized to charge payment of following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0436. A duplicate copy of this sheet is enclosed.
- ☐ Any patent application processing fees under 37 CFR 1.17.
- ☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Respectfully submitted,

PEPPER HAMILTON LLP

  
35,153

Gilberto M. Villacorta, Ph.D.  
Registration No. 34,038

Hamilton Square  
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Facsimile: (202) 220-1665  
Date: **January 29, 2001**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL**

for FY 2000

Patent fees are subject to annual revision.  
 Small Entity payments must be supported by a small entity statement,  
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.  
 See 37 C.F.R. §§ 1.27 and 1.28.

JAN 29 2001

**Complete if Known**

Application Number	09/678,804
Filing Date	October 4, 2000
First Named Inventor	Peter Terence Roux
Examiner Name	To Be Assigned
Group / Art Unit	2161
Attorney Docket Number	113183.0100

TOTAL AMOUNT OF PAYMENT (\$)

105.00

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:

Deposit Account Number: 50-0436  
 Deposit Account Name: PEPPER HAMILTON LLP

- ☒ Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2. ☐ Payment Enclosed: ☐ Check No. \_\_\_\_\_  
☐ Money Order ☐ Other\*  
 \*Charge any deficiencies or credit any overpayments in the fees or fee calculations of Parts 1, 2 and 3 below to Deposit Account No. 50-0436.

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	710	201	355	Utility filing fee	
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) \_\_\_\_\_

**2. EXTRA CLAIM FEES**

	Extra	Fee from below	Fee Paid
Total Claims _____ - 20** = _____ X _____ = _____			
Indep. Claims _____ - 3** = _____ X _____ = _____			
Multiple Dependent _____ = _____			

\*\* or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	80	202	40	Independent claims in excess of 3
104	270	204	135	Multiple dependent claim
108	80	209	40	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \_\_\_\_\_

**3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	\$65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	

122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) :

Other fee (specify) :

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 105.00

**SUBMITTED BY****Complete (if applicable)**

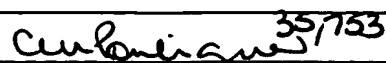
Typed or Printed Name

Gilberto M. Villacorta, Ph.D.

Reg. Number

34,038

Signature



Date

January 29, 2001

Deposit Acct. User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.